

Limberg Asset Management Pty Ltd

Privacy Policy

Revised: September 2025

By: Stuart Milne

Limberg Asset Management Pty Limited ("LAM") is committed to protecting the privacy and confidentiality of personal information you provide to it.

Limberg Asset Management is subject to the requirements of the *Privacy Act 1988 (Cth)* and the National Privacy Principles ("NPP"). The NPP regulates, among other things, the collection, storage, quality, use and disclosure of personal information.

This Privacy Policy outlines the type of personal information we collect, how that information is collected, used, stored and protected, and to whom we disclose personal information.

Personal information we collect

Personal information is any information that can be used to identify you or can be linked to you.

The types of personal information we collect from you include your name, date of birth, address and contact details, bank account details, your tax file number and your financial details.

The Corporations Act requires that when an adviser provides an investment recommendation he must have reasonable grounds for making the recommendation. This means the adviser must make appropriate enquiries about your financial situation and your particular needs and objectives.

The Anti-Money Laundering laws may require us to collect additional personal information.

Website

Our website is passive and does not collect personal information from you. Limberg Asset Management does not use cookies to collect information from you through the website. The website does not contain links to other sites.

When browsing our website, our web servers automatically collect standard information as part of the HTTP web protocol – your IP address, browser type, operating system, access time, referring sites, pages viewed and other anonymous information.

Use and disclosure of personal information

The personal information we collect from you is strictly confidential for use by Limberg Asset Management.

Limberg Asset Management uses your personal information to provide services to you, to comply with relevant laws and to communicate with you on an on-going basis about your investment portfolio.

We may need to disclose personal information to third parties in the following situations:

- where we use agents and external service providers to help us to provide our services to you (such as banking/ financial institutions, paying agents, printing houses and external consultants);

- where we are required to provide the information to governmental or regulatory bodies (such as the ASIC and AUSTRAC); and
- where a court order requires us to disclose certain information.

We take steps to ensure our agents and third parties keep your person information confidential and only use it for the purpose for which they have been authorised.

If we wish to use any personal information other than notified to you in this Policy, we will not do so without your consent. We are permitted to use or disclose information held about you:

- where we reasonably believe it is necessary to lessen or prevent a serious immediate threat to someone's health or safety or the public's health or safety;
- where we suspect an unlawful activity is or has been engaged in; or
- in legal or dispute proceedings.

If you cease to be a client of Limberg Asset Management, we may keep records about you, including personal information, for the periods necessary to comply with legislative requirements.

Security of personal information

Limberg Asset Management regard the security of personal information as very important and take reasonable steps to ensure that all information is stored in a secure environment. Information we hold is accessible only by authorised personnel.

We have a number of physical and electronic protection measures in place. The security arrangements are reviewed and tested from regularly.

Access to and correction of your personal information

Limberg Asset Management is committed to maintaining accurate, complete and up-to –date information.

You may, at any time, request access to personal information we hold about you. Sometimes it may not be possible for us to give you access, in which case we will provide you with an explanation.

You may also ask us to correct information we hold if it is inaccurate, incomplete or out-of-date.

Need to contact us

If you have any questions about our Privacy Policy or you want to make a complaint about a how we have handled your personal information you should contact our Privacy Officer:

Limberg Asset Management Pty Limited

Phone: 02 9232 2079

Email: admin@limberg.com.au

Date: September 2025